

PTO/SB/08A (10-01)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO			Complete if Known		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)			Application Number	09/902,480	
			Filing Date	July 9, 2001	
			First Named Inventor	Martin, James D.L., et. al.	
			Art Unit	2161	
			Examiner Name	Unassigned	
Sheet	1	of	3	Attorney Docket Number	006969-028210US

U.S. PATENT DOCUMENTS					
Examiner	Cite No. ¹	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
ERC	AA	5,963,928	10-05-1999	Lee	
	AB	5,920,850	07-06-1999	Hunter et al.	
	AC	5,822,738	10-13-1998	Shah et al.	
	AD	5,793,867	08-11-1998	Cordery et al.	
	AE	5,781,438	07-14-1998	Lee et al.	
	AF	5,742,683	04-21-1998	Lee et al.	
	AG	5,715,164	02-03-1998	Liechti et al.	
	AH	5,688,056	11-18-1997	Peyret	
	AI	5,666,421	09-09-1997	Pastor et al.	
	AJ	5,638,442	06-10-1997	Gargiulo et al.	
	AK	5,625,694	04-29-1997	Lee et al.	
	AL	5,448,641	09-05-1995	Pintsov et al.	
	AM	5,377,268	12-27-1994	Hunter	
	AN	5,280,531	01-18-1994	Hunter	
	AO	5,231,668	07-27-1993	Kravitz	
	AP	5,181,245	01-19-1993	Jones	
	AQ	5,142,577	08-25-1992	Pastor	
	AR	4,949,381	08-14-1990	Pastor	
	AS	4,853,961	08-01-1989	Pastor	
	AT	4,853,865	08-01-1989	Sansone et al.	
	AU	4,831,555	05-16-1989	Sansone et al.	
	AV	4,813,912	03-21-1989	Chickneas et al.	
	AW	4,812,994	03-14-1989	Taylor et al.	
	AX	4,775,246	10-04-1988	Edelmann et al.	
	AY	4,757,537	07-12-1988	Edelmann et al.	
	AZ	4,743,747	05-10-1988	Fougere et al.	
	BA	4,725,718	02-16-1988	Sansone et al.	
ERC	BB	4,657,697	04-14-1987	Chiang	
	BC	4,447,890	05-08-1984	Duwel et al.	

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FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code ³	Number ⁴ Kind Code ⁵ (if known)			T ⁶
Examiner Signature	Cosimano, E.			Date Considered	7/21/04	

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet

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of

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Complete if Known

Application Number

09/902,480

Filing Date

July 9, 2001

First Named Inventor

Martin, James D.L., et. al.

Art Unit

2161

Examiner Name

Unassigned

Attorney Docket Number

006969-028210US

FOREIGN PATENT DOCUMENTS

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		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
ERC	BD	EP	845 762	A2	06-03-1998			<input type="checkbox"/>
	BE	EP	825 565	A2	02-25-1998			<input type="checkbox"/>
	BF	WO	00/49580	A1	08-24-2000			<input type="checkbox"/>
	BG	WO	98/20461	A2	05-14-1998			<input type="checkbox"/>
	BH	WO	98/13790	A1	04-02-1998			<input type="checkbox"/>
ERC	BI	GB	1 536 403		12-20-1978			<input type="checkbox"/>
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Cosimano, E.

Date
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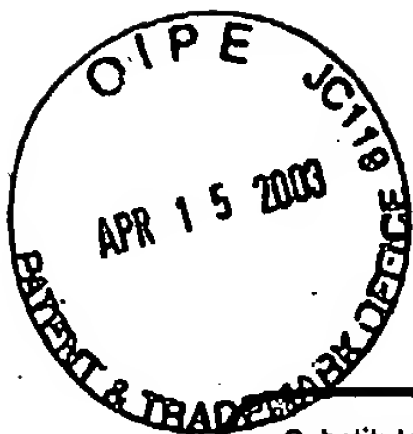
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Substitute for form 1449B/PTO		Complete if Known	
		Applicati n Number	09/902,480
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Filling Dat	July 9, 2001
		First Named Inventor	Martin, James D.L., et. al.
		Art Unit	2161
		Examiner Name	Unassigned
		Attorney Docket Number	006969-028210US
Sheet	3	of	3

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No.¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
ERC	BJ	"Information-Based Indicia Program (IBIP), Performance Criteria for Information-Based Indicia and Security Architecture for Closed IBI Postage Metering Systems (PCIBI-C)" 12 January 1999 (1999-01-12), United States Postal Service, dated January 12, 1999.	
	BK	"Information Based Indicia Program (IBIP) Indiciu Specification," United States Postal Service, dated June 13, 1996.	
	BL	"Information Based Indicia Program Postal Security Device Specification, United States Postal Service, dated June 13, 1996	
	BM	"Information Based Indicia Program Host System Specification [Draft]," United States Postal Service, dated October 9, 1996	
	BN	"Information-Based Indicia Program (IBIP), Performance Criteria for Information-Based Indicia and Security Architecture for IBI Postage Metering Systems (PCIBISAIBIPMS)," United States Postal Service, dated August 19, 1998	
	BO	United States Postal Service, "Performance Criteria For Information-Based Indicia And Security Architecture For Open IBI Postage Evidencing Systems," Information Based Indicia Program (IBIP), June 25, 1999 XP-002161216	
	BP	BARKER-BENFIELD, "First Union Offers Online Transactions," <u>Florida Times-Union</u> , 1/28/94.	
ERC	BQ	FIBS PUB 140-1, Federal Information Processing Standards Publication, (January 11, 1994) Security Requirements for Cryptographic Modules, U.S. Department of Commerce, Ronald H. Brown, Secretary, National Institute of Standards and Technology, pp:1-51.	

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Sheet

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		Number Kind Code ² (if known)			
ERC	1	5,369,258	11/29/94	Sansone et al.	
	2	5,457,636	10/10/95	Sansone et al.	
	3	5,737,729	04/07/98	Denman	
	4	5,826,267	10/20/98	McMillan	
	5	5,909,373	06/01/99	Sansone et al.	
	6	5,970,150	10/19/99	Sansone	
	7	6,085,195	07/04/00	Hoyt et al.	
	8	6,141,684	10/31/00	McDonald et al.	
	9	6,262,717 B1	07/17/01	Donohue et al.	
	10	6,296,404 B1	10/02/01	Pierce et al.	
ERC	11	6,505,179 B1	01/07/03	Kara	

FOREIGN PATENT DOCUMENTS

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		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
ERC	12	PCT	WO 97/46957		12/11/1997	Tallpine Technologies		

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet

1

of

2

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09/902,480

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July 9, 2001

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Martin, James D.L.

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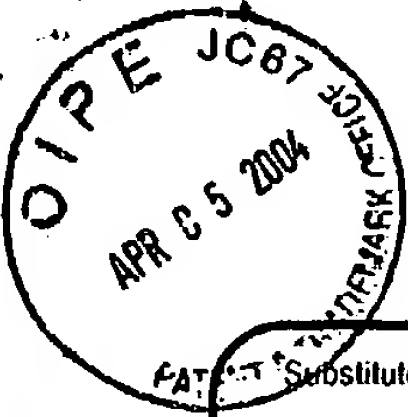
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	09/902,480
				Filing Date	July 9, 2001
				First Named Inventor	Martin, James D.L.
				Art Unit	2161
				Examiner Name	Unassigned
				Attorney Docket Number	006969-028210US
Page	2	of	2		

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
ERC	AB	LaserCard®: The Secure ID Document, brochure from LaserCard Systems Corporation, pages 1-4.	
ERC	AC	PRICE-FRANCIS, S., "Focus on Transactions, ID Cards: a new generation," Global ID Magazine, February 2002.	
ERC	AD	Smart Card & Security Basics, brochure by CardLogix, pages 1-36.	

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